

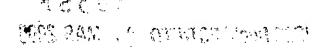
FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

| | | NSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER | | | | | | | |
|-----------------|----------|---|--|--|--|--|--|--|--|--|
| | | SIGNATED/ELECTED OFFICE (DO/EO/US) | 030307-0256 | | | | | | | |
| | | | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unass of ed 5 2 8 5 6 3 | | | | | | | |
| | | IAL APPLICATION NO. INTERNATIONAL FILING DATE 09/19/2003 | PRIORITY DATE CLAIMED 09/20/2002 | | | | | | | |
| TITLE | OF INVE | ENTION | · | | | | | | | |
| | | FOR INCREASING THE PRODUCTION OF A RECOMBINANT POLYP) FOR DO/EO/US | EPTIDE FROM A HOST CELL | | | | | | | |
| Lar | s JØNS | ON, Jens F. REHFELD and Anders H. JOHNSEN | | | | | | | | |
| | | with submits to the United States Designated/Elected Office (DO/EO/US) | | | | | | | | |
| 1. 🛚 | | his is a FIRST submission of items concerning a filing under 35 U.S.C. 3 | | | | | | | | |
| 2. \square |] T | his is a SECOND or SUBSEQUENT submission of items concerning a fi | ling under 35 U.S.C. 371. | | | | | | | |
| 3. 🗀 | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. 🗆 | Т | The US has been elected (Article 31). | | | | | | | | |
| 5. 🛛 |) A | copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | ٥ | has been communicated by the International Bureau. | | | | | | | | |
| | | is not required, as the application was filed in the United States Red | ceiving Office (RO/US) | | | | | | | |
| 6. 🗀 | A | n English language translation of the International Application as filed (35 | 5 U.S.C. 371(c)(2)). | | | | | | | |
| | _ | is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. 🛚 | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. | | | | | | | | |
| в. 🗀 | A | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. 🗆 | A | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. 🗆 | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| tems 1 | 1 to 20 | below concern other document(s) or information included: | | | | | | | | |
| 11. 🛚 | Α | n Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. 🗆 | Α | n assignment document for recording. A separate cover sheet in complia | ance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🖂 | Α | preliminary amendment. | | | | | | | | |
| 14. 🛚 | Α | n Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. 🔲 | Α | substitute specification. | | | | | | | | |
| 16. | | power of attorney and/or change of address letter. | . — | | | | | | | |
| 1ス⊠ | | computer-readable form of the sequence listing in accordance with PCT I | | | | | | | | |
| 18. 🔲 | Α | second copy of the published international application under 35 U.S.C. 15 | 54(d)(4). | | | | | | | |
| 19. 🗆 | Α | second copy of the English language translation of the international applic | cation under 35 U.S.C. 154(d)(4). | | | | | | | |
| 6230 390 (Mo | | Other items or information: Form PCT/ISA/210 and Assertion of Small Enti | ty Status. | | | | | | | |

JC06 Rec'd PCT/PTO 21 MAR 2005

| | TON NO LIT KOOV | vn_see 37 CE | | INTERNATIONAL APPLICATION | NO. | | | KET NUMBER | | |
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| | following fee | | nitted: | | | 200.00 | \$ | 300.00 | | |
| | ☑ a) Basic national fee☑ b) Examination fee\$200.00 | | | | | | | | | |
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| | TOTAL OF | ABOVE C | ALCULA | TIONS = | \$ | 1000.00 | \$ | 1000.00 | | |
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| | or each addit | ional 50 sh | | listing filed in an electron aper or fraction thereof. | ic mediun | ı). The fee | | | | |
| Total Shee | al Sheets Extra sheets | | | Number of each additional 50 or fraction thereof (round up to a whole number) | | | | _ | | |
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| Surcharge | of \$130.00 fe | or furnishin | g the oat | h or declaration later than | 30 month | ns | \$ | 130.00 | | |
| from the ea | arliest claime | d priority d | ate (37 C | FR 1.492(e)) | | | | | | |
| CLAIMS | | NUMBER FILED | | NUMBER EXTRA | RATE | | \$ | | | |
| Total Clain | ns | 22 | - 20 = | 2 | x\$ | 50.00 | \$ | 100.00 | | |
| Independe | Independent Claims | | - 3 = | 0 | x\$ | 200.00 | \$ | 0.00 | | |
| MULTIPLE | DEPENDE | NT CLAIM | S) (if app | olicable) | +\$ | 360.00 | \$ | -, | | |
| | | | <u> </u> | TOTAL OF ABOV | E CALCU | JLATIONS = | \$ | 1480.00 | | |
| ⊠ Ap | plicant claim ove are redu | s small ent | ity status | . See 37 CFR 1.27. The f | ees indica | ated + | \$ | 740.00 | | |
| | | | | · · · · · · · · · · · · · · · · · · · | S | UBTOTAL = | \$ | 740.00 | | |
| Processing | fee of 130.0 | 00 for furnis | hina the | English translation later th | | | s | | | |
| | • | | _ | FR 1.492(f)). | | | • | | | |
| | | | \$ | 740.00 | | | | | | |
| Fee for rec | ording the er | nclosed as: | sianment | (37 CFR 1.21(h)). The as | sianmen | must be | \$ | | | |
| | • | | • | et (37 CFR 3.28, 3.31). 40 | • | | , | | | |
| | | · · · · · · · · · · · · · · · · · · · | • | TOTAL | FEES E | NCLOSED = | \$ 740.00 | | | |
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| a. 🔲 | A check in | the amou | nt of \$7 | 40.00 to cover the abov | e fees is | enclosed. | | | | |
| | | | | ccount No. <u>19-0741</u> in t is enclosed. | the amo | unt of \$740.0 | 00 to c | over the above | fees. | |
| c. 🛛 | The Commoverpayme | nissioner i | s hereb | y authorized to charge ount No. <u>19-0741</u> . A di | any ado | litional fees | which sheet i | may be required sending | ed, or credit any | |
| d. 🔲 | Fees are to | be charg | e to a cr | redit card. WARNING: | Informat | ion on this fo | m ma | y become publ | | |
| | 2038. | | | ncluded on this form. | | | | | | |
| NOTE: V | Vhere an a | ppropria | te time | limit under 37 CFR 1. | 494 or 1 | .495 has no | ot bee | n met, a petiti | on to revive (37 | |
| 1.137(a) | or (b)) mus | t be filed | and gra | anted to restore the a | pplication | on to pendir | ng sta | tus. | | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lars JØNSON et al.

Title:

METHODS FOR INCREASING THE PRODUCTION OF A RECOMBINANT POLYPEPTIDE FROM A HOST CELL

Int'l. Appl. No.:

PCT/DK2003/000609

Int'l. Filing

September 19, 2003

Date:

Examiner:

Unassigned

Art Unit:

Unassigned

ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

Date 21 March 2005

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Stephen A. Bent Attorney for Applicant

Registration No. 29,768